PATENT

ENT AND TRADEMARK OFFICE IN THE UNIT

In Re Application of:.. **Rowan Bosworth-Davies**

Serial No.: 09/998,360

Group Art Unit: 2161

Filing Date: November 29, 2001

215

Examiner: N/A

COUNTERMEASURES FOR IRREGULARITIES IN FINANCIAL TRANSACTIONS

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

SIR:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the "Notice to File Missing Parts of Application—Filing Date Granted" dated December 31, 2001, a response to which is due May 31, 2001, enclosed herewith for filing is:

The original Declaration and Power of Attorney, executed by the inventors. An unexecuted Declaration and Power of Attorney was filed with the above-identified application via Express Mail No. ET 692 310 585 US on November 29, 2001.

Authorization to charge payment of the surcharge in the amount of \$130.00 to Deposit Account No. 19-3790 as set forth in 37 C.F.R. 1.16(e). A duplicate copy of this sheet is enclosed herewith.

Date: May 8, 2002

Michael B. Atlass

Registration No. 30,606

Unisys Corporation Unisys Way, MS/E8-114

Blue Bell, Pennsylvania 19424-0001

Ph: (215) 986-4111 Fax: (215) 986-3090

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 19-3790 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant-Commissioner for Patents, Washington, D.C. 20231 on:

UNISYS OGC

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United States P.

COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

APPLICATION NUMBER

UNISYS Corporation

Unisys Way, MS/E8-114

Blue Bell, PA 19424-0001

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/998.360

11/29/2001

Rowan Bosworth-Davies

TN223

RECEIVED

JAN ""7 2002

PATENT DEPARTMENT

CONFIRMATION NO. 5529

FORMALITIES LETTER

OC000000007243835°

Date Mailed: 12/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



RECEIVED BY THE UNITED STATES PATENT AND TRADEMARK OFFICE

Paper: Petition for Ext. of Time (dup.); Response
To Notice to File Missing Parts (dup.); Executed
Dec/POA (12 pgs.); Copy of Notice to File Missing

Parts (1 pg)

Applicant(s); Rowan Bosworth Davies

Serial No. 197998360

Filed: November 29-2001.

Docket No.: 198223

Date Sent: May 8, 2002

MBA/s

ST AVAILABLE COPY

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United States Patent and

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 vog.cáqgµ,www

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/998,360	11/29/2001	Bosworth-Davies, Rowan	TN223

UNISYS Corporation Unisys Way, MS/E8-114 Blue Bell PA 19424-0001

Title: Countermeasures for irregularities in financial transactions

Received JUN 2 1 2002

Patent Department

Date Mailed: 06/17/2002

Request for Substitute Papers

The papers filed on 05/20/02 (certificate of mailing dated 05/08/02) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room IB03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Registration No.: 30,606